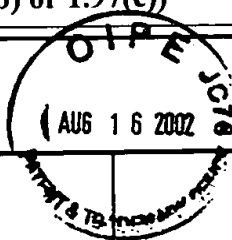


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 5314	
In Re Application: John Hendricks, et al.				
Serial No. 09/597,891	Filing Date 6/19/2000	Examiner N/A	Group Art Unit 2711	
<div style="text-align: center;"> Apparatus and Method for Packaging Programs in a Television Program Delivery System </div> <div style="text-align: right; font-size: 1.2em; font-weight: bold;"> RECEIVED AUG 20 2002 </div>				
<div style="text-align: center;"> Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) </div> <div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> <input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed. <div style="margin-left: 20px;"> <input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required. </div> </div> <div style="width: 35%; text-align: right;"> Technology Center 2600 </div> </div>				
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Transmission by Facsimile*</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ (Date) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ Signature </div> <div style="border: 1px solid black; padding: 5px;"> _____ Typed or Printed Name of Person Signing Certificate </div> </div> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Mailing by First Class Mail</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ Signature of Person Mailing Correspondence </div> <div style="border: 1px solid black; padding: 5px;"> _____ Typed or Printed Name of Person Mailing Certificate </div> </div> </div>				
<p>*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 40%;"> _____ Signature </div> <div style="width: 50%; text-align: right;"> Dated: August 16, 2002 </div> </div>				
<div> Sung Kim Reg. No. 45,398 DORSEY & WHITNEY LLP 1660 International Drive Suite 400 McLean, VA 22102 (703) 288-5240 (703) 288-5260 facsimile CC: </div>				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
5314

In Re Application Of: John Hendricks, et al.



Serial No.
09/597,891

Filing Date
6/19/2000

Examiner
N/A

Group Art Unit
2711

Title: Apparatus and Method for Packaging Programs in a Television Program Delivery System

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Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

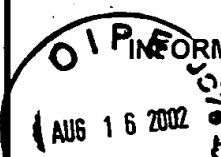
37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

 <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p>	ATTY DOCKET NO. 5314	SERIAL NO. 09/597,891
	John Hendricks, et al.	
	FILING June 19, 2000	GROUP 2711

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	4,860,379	8/22/1989	Carl Schoeneberger, et al.	725	24
	2.	5,027,400	6/25/1991	Toru Baji, et al.	725	116
	3.	5,283,639	2/1/1994	Arthur Esch	725	32
	4.	5,416,508	5/16/1995	Kazushi Sakuma, et al.	725	139
	5.	5,410,344	4/25/1995	Gordon Graves, et al.	725	46
	6.	4,381,522	4/26/1983	Trevor Lambert	725	93
	7.	5,075,771	12/24/1991	Kazuo Hashimoto,	725	46
	8.	5,440,632	8/8/1995	Kinney Bacon, et al.	380	242
	9.	6,163,316	12/19/2000	Robert Killian	345	721
	10.	5,600,364	2/4/1997	John Hendricks, et al.	725	9
	11.	5,990,927	11/23/1999	John Hendricks, et al.	725	132

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FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
1.	EP0355697	2/28/1990	Europe			
2.	WO 94/14282	6/23/1994	WIPO			
3.	WO 97/13368	4/10/1997	WIPO			
4.	WO 94/13107	6/9/1994	WIPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<div style="text-align: center;">  <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p> </div>	ATTY DOCKET NO. 5314	SERIAL NO. 09/597,891
	John Hendricks, et al.	
	FILING June 19, 2000	GROUP 2711

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	12.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,600,573	2/4/1997	John Hendricks, et al.	725	109	

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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